



INVENTORSHIP DECLARATION BY JOINT INVENTORS

I HEREBY DECLARE THAT:

My residence, post office address, and citizenship are stated next to my name in PART A on hereof.

I believe I am the original, first, and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled

PHOTOATHEROLYTIC CATHETER APPARATUS AND METHOD

the specification of which:

- ☐ is attached hereto.
- ☒ was filed on March 22, 2001 as Application Serial No. 09/816,832.

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate listed in PART B hereof and have also identified in PART B on hereof any foreign application for patent or inventor's certificate having a filing date before that of the application of which priority is claimed.

I hereby claim the benefit under Title 35, United States Code §119(e) and §120, of any United States application(s) listed in PART C hereof and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations §1.56 which occurred between the filing date of the prior application and any national or PCT international filing date of this application.

I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Please direct all telephone calls to Peter J. Dehlinger at (650) 324-0880. Address all correspondence to:

Iota Pi Law Group  
P.O. Box 60850  
Palo Alto, CA 94306-0850  
Customer No. 22918

INVENTORSHIP DECLARATION BY JOINT INVENTORSPART A: INVENTOR INFORMATION AND SIGNATUREFull name of FIRST inventor: Richard L. MuellerCitizenship: U.S.A. Post Office Address: 2305 Cypress Point  
Byron, CA 94514Residence (if different): (same)Inventor's Signature: Richard L. Mueller Date: 6/11/01Full name of SECOND inventor: U. Hiram CheeCitizenship: U.S.A. Post Office Address: 4425 Opal Cliff Drive  
Santa Cruz, CA 95062Residence (if different): (same)Inventor's Signature: [Signature] Date: 6/11/01PART B: CLAIM TO PRIORITY OF FOREIGN APPLICATION(S) UNDER 35 U.S.C. 119(a-d)

Country	App. No.	Filing Date
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PART C: CLAIM TO PRIORITY OF U.S. PROVISIONAL AND NONPROVISIONAL APPLICATION(S) UNDER 35 U.S.C. 119(e) AND 120

Serial No.	Filing Date	Status
<u>60/191,777</u>	<u>March 24, 2000</u>	<input checked="" type="checkbox"/> Abandoned



I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231, on:

Date: 6-15-01

By: Deborah Boettner

Docket No. 5756-0012.30

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

U. Hiram Chee et al.

SERIAL No.: 09/816,832

FILED: March 22, 2001

FOR: PHOTOATHEROLYTIC CATHETER  
APPARATUS AND METHOD

EXAMINER: Unknown

ART UNIT: 1614

Power of Attorney by Assignee and Certification  
Under 37 CFR §3.73(b)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

- I, the undersigned, acting on behalf of the Assignee of
- ☐ an undivided share of the entire right, title, and interest
  - ☒ the entire right, title and interest

in the above-identified patent application, appoint the attorneys and agents listed below to prosecute this application and transact all business with the U.S. Patent and Trademark Office in connection therewith. This appointment is to the exclusion of the inventor(s) and their attorney(s) and agent(s) in accordance with the provisions of 37 CFR 3.71.

All prior powers of attorney for this application are hereby revoked. The appointed representatives are:

Peter J. Dehlinger, Registration No. 28,006  
Judy M. Mohr, Registration No. 38,563  
LeeAnn Gorthey, Registration No. 37,337  
Linda R. Judge, Registration No. 42,702  
Larry W. Thrower, Registration No. 47,994  
Jacqie F. Mahoney, Registration No. P48,390

all affiliated with Iota Pi Law Group.

Direct all telephone calls to Peter J. Dehlinger at (650)  
324-0880. Address all correspondence to:

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P.O. Box 60850  
Palo Alto, CA 94306  
Telephone: (650) 324-0880  
Customer No. 22918

In accordance with 37 CFR 3.73(b), I hereby certify that I  
am empowered to act on behalf of the Assignee. To the best of  
my knowledge and belief, title is in the Assignee.

I further declare that these statements were made with the  
knowledge that willful false statements and the like so made are  
punishable by fine or imprisonment, or both, under Title 18, USC  
§1001 and that such willful false statements may jeopardize the  
validity of the this application or any patent resulting  
therefrom.

ASSIGNEE: MicroHeart, Inc

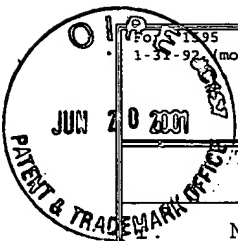
Signature: 

Typed Name: URIEL HIRAM CHEE

Title: VP R&D AND OPERATIONS

Date: 6/11/01

Address: 4425 OPAL CLIFF DR SAN JUAN, CA 95062



PATENTS ONLY

To the Honorable Assistant Commissioner for Patents: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):  
Richard L. Mueller  
U. Hiram Chee  
Add'l names of conveying parties attached?  
☐ Yes ☒ No

3. Nature of conveyance: ☒ Assignment  
☐ Merger ☐ Security Agreement ☐ Other  
☐ Change of Name ☐ Reassignment

4. Date of execution: June 11, 2001

2. Name/address of receiving party(ies):  
MicroHeart, Inc.

2634 Bayshore Parkway  
Monte Vista, CO 80431

Add'l names of receiving parties attached? ☐ Yes ☒ No

5. Application number(s) and/or patent number(s):

If this document is being filed with a new application, the date of signature by the first named inventor was: \_\_\_\_\_.

A. Patent Application No.(s)  
09/816,832 filed 22 March 2001

B. Patent No.(s)

Additional numbers attached: ☐ Yes ☒ No

6. Name and address of party to whom correspondence concerning document should be mailed:

Iota Pi Law Group  
P.O. Box 60850  
Palo Alto, CA 94306  
(650) 324-0880  
Customer No. 22918

7. Total No. of applications and patents involved:  
one (1)

8. Total fee (37 CFR \$3.41): \$40.00  
☒ Enclosed is a check for \$40.00

Total number of pages, including cover sheet, attachments and document: 4

DO NOT USE THIS SPACE

10. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Peter J. Dehlinger  
Name of Person Signing

Peter J. Dehlinger  
Signature

6-15-2001  
Date

COPY